Thu

#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In responding of

Docket No: Q77576

Yung-lyul LEE, et al.

Appln. No.: 10/669,709

Group Art Unit: 2613

Confirmation No.: 6387

Examiner: Not yet assigned

Filed: September 25, 2003

For: SIGNAL ADAPTIVE FILTERING METHOD, SIGNAL ADAPTIVE FILTER AND

COMPUTER READABLE MEDIUM FOR STORING PROGRAM THEREFOR

# <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/669,709

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a

Statement can be made a Statement is submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Chinese Office Action

dated August 18, 2006 citing such documents, together with an English-language version

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Seok-Won Stuart Lee

Limited Recognition No. L0212

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 8, 2006

2

### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77576

ang-lyul LEE, et al.

Spln. No.: 10/669,709

Group Art Unit: 2613

Confirmation No.: 6387

Examiner: Not yet assigned

Filed: September 25, 2003

For: SIGNAL ADAPTIVE FILTERING METHOD, SIGNAL ADAPTIVE FILTER AND

COMPUTER READABLE MEDIUM FOR STORING PROGRAM THEREFOR

## STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Seok-Won Stuart Lee

Limited Recognition No. L0212

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

 $\begin{array}{c} \text{Washington office} \\ 23373 \\ \text{customer number} \end{array}$ 

Date: November 8, 2006

| Substitute for Form 1449 A & B/PTO | Application Number                                      | 10/660 700         |
|------------------------------------|---|--------------------|
|                                    | Application Number 10/669,709  Confirmation Number 6387 |                    |
| INFORMATION DISCLOSURE             | Confirmation Number                                     | 6387               |
| STATEMENT BY APPLICANT             | Filing Date   | September 25, 2003 |
| STATEMENT DY APPLICANT             | First Named Inventor                                    | Yung-lyul LEE      |
| (use as many sheets as necessary)  | Art Unit  | 2613               |
| OIPE                               | Examiner Name   | Not yet assigned   |
| Sheet 1 of 1                       | Attorney Docket Number                                  | Q77576             |

| NOV U 8 ZI  | أبير     |        | U.S. I                            | PATENT DOCUME                  | ENTS  |
|-------------|----------|--------|-----------------------------------|--------------------------------|---|
| Examiner te | Document | Number | Dublication Date                  |                                |   |
|             | No.1     | Number | Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|             |          | US     |                                   |                                |   |

| FOREIGN PATENT DOCUMENTS |      |                              |                     |                                   |                  |                                    |                          |
|--------------------------|------|------------------------------|---------------------|-----------------------------------|------------------|------------------------------------|--------------------------|
|                          | Cite | Foreign Patent Document      |                     |                                   | Publication Date | Name of Patentee or                |                          |
|                          | No.1 | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | Applicant of Cited Document        | Translation <sup>6</sup> |
|                          |      | CN                           | 1151662             | A                                 | 06/11/1997       | Matsushita Electric Ind. Co., Ltd. | English<br>Translation   |
| -                        |      |                              |                     |                                   |                  |                                    |                          |
|                          |      |                              |                     | <del> </del>                      |                  |                                    |                          |
|                          |      | 1                            |                     |                                   |                  |                                    |                          |
|                          |      |                              |                     |                                   |                  |                                    |                          |
|                          | -    |                              |                     |                                   |                  |                                    |                          |
|                          |      |                              | ļ                   |                                   |                  |                                    |                          |
|                          |      |                              |                     |                                   |                  |                                    |                          |
|                          |      |                              |                     |                                   |                  |                                    |                          |
|                          |      |                              |                     |                                   |                  |                                    |                          |
|                          |      | i                            |                     |                                   |                  |                                    |                          |

| NON PATENT LITERATURE DOCUMENTS |   |  |  |  |
|---------------------------------|---|--|--|--|
|                                 |   | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. |  |  |
|                                 |   |  |  |  |
|                                 |   |  |  |  |
|                                 |   |  |  |  |
|                                 | · |  |  |  |
|                                 |   |  |  |  |

| Examiner Signature | <br>Date Considered |  |
|--------------------|---------------------|--|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.